CITA Brussels meeting
17-18 September 2019

APS Consulting s.r.o.

TESTEK

Practical experience of ISO 37001: 2016 - Anti-bribery Management System implementation

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„What is ISO 37001?“

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ISO 37001: 2016 - Antibribery management system

- avoiding all possible ways of bribery (including facilitation payments and extortion)
- bribes can be: money, assets, goods, gifts, catering, vacation, future work position
- consequences of bribery: legal risk (criminal and civil); safety, quality and environmental risk; financial risk; reputational risk
- Advantages of implementation:
    - protection of company management in case of bribery or corruption
    - protection of company reputation and goodwill
    - improvement of internal processes
    - decrease of negative impact of bribery
    - identification of bribery and corruption risks

Act No. 106/2018 Coll. on vehicle operation in the road traffic

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- entered into force on 20th May 2018
- all PTI stations need to implement and certify ISO 37001: 2016 until 20th May 2021
  - most of PTI stations even sooner if they want to add another PTI line or extend it

(1) The Approval Body shall grant

a) the authorization to perform the technical inspections to the holder of the concession to establish the PTI station, who fulfils the following requirements:

13. has introduced the certified system of anti-corruption management[82]) for technical inspections, certified by the accredited certification body reviewed by the Slovak National Accreditation Service,

[82]) STN ISO 37001 Anti-bribery management systems -- Requirements with guidance for use (01 0105).

by 20th May 2021 at the latest

For TESTEK as „technical service“ the ISO 37001 certification is not required by the Law, but they decided to undergo the process in order to lead by example.

Number of cars that did not pass technical or emissions control increased from 3% to 20% after Act No. 106/2018 Coll. was introduced.

Implementation of Antibribery managerial system in Testek, a.s.

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- previous consulting company could not finish the job
- we had limited time but could manage with templates
  - policy
  - targets
  - guidelines (e.g. risk assesment, gift and hospitality policy, raising concerns)
  - management review and compliance manager review
- training of staff and management
- conducting Internal audit
- role of compliance manager - independent of company management and employees

Practical experience - implementation in PTIs

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- management involvement is very important
- 80% of work is done by APS Consulting, s.r.o. and 20% by customer
- we use our templates as mentioned previously
- providing training of staff and management
- conducting internal audit

- we provide also role of compliance manager - independent of company management and employees

Practical experience implementation in PTIs (2)

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- raising concerns via phone, email address, online form (anonymous)
- investigating of potential bribery cases
- confusion with 3 year certificate validity
- confusion of external and internal audit
- no or little interest from customer and employees
- PTI station (premises, equipment, etc.) not finished during external audit

Questions

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Thank you for your attention!

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