

CITA WG3 Standardized Inspection Outcomes

4th April 2018, TESTEK, a.s., Bratislava

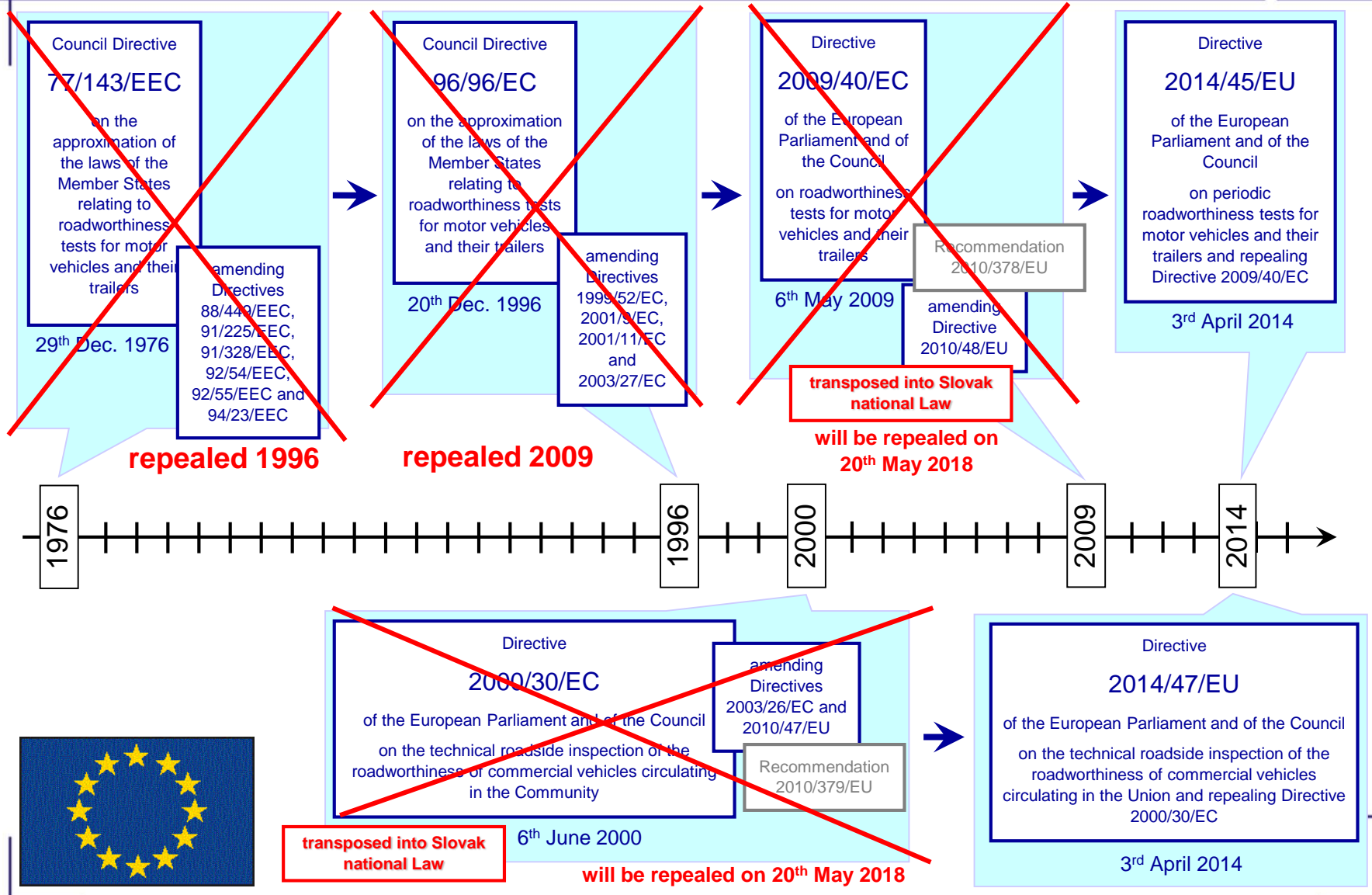


ISO 37000 Anti-bribery Management Systems and Vehicle Inspections in the Slovak Republic

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EU vehicle inspection Directives



CITA WG3 meeting, Bratislava, 4th April 2018

Transposition of the EU's vehicle inspection Directives into national law



Legislation of the European Union



Directive
2014/47/EU
of the European Parliament and of the Council
on the technical roadside inspection of the
roadworthiness of commercial vehicles circulating in the
Union and repealing Directive 2000/30/EC

Directive
2014/46/EU
of the European Parliament and of the Council
amending Council Directive 1999/37/EC on the
registration documents for vehicles

Directive
2014/45/EU
of the European Parliament and of the Council
on periodic roadworthiness tests for motor vehicles and
their trailers and repealing Directive 2009/40/EC

Legislation of the Slovak Republic



Act No. ?/2018 Coll. on vehicle
operation in the road traffic
(entry into force on 20th May 2018)

Random roadside inspections of
the roadworthiness performed by
the Police

Periodic technical inspections

Periodic emission checks

New Slovak Law on vehicle operation in the road traffic



**Problem:
Fraud during
PTI**



The tools already implemented in current legislation:

- state supervision
- sanctions
- definition of conflict of interests
- technical means (camera monitoring, data collection...)
- criminal liability (in case of bribery)



How to support the PTI companies who would like to prevent the bribery?

Considered alternatives:



New Slovak Law on vehicle operation in the road traffic



PTI Stations:

- (1) The Approval Body shall grant
a) the authorization to perform the technical inspections to the holder of the concession to establish the PTI station, who fulfils the following requirements:

...

13. has introduced the certified system of anti-corruption management⁸²⁾ for technical inspections, certified by the accredited certification body reviewed by the Slovak National Accreditation Service,

...

⁸²⁾ STN ISO 37001 Anti-bribery management systems -- Requirements with guidance for use (01 0105).

by 20th May 2021
at the latest

For TESTEK as „technical service“ the ISO 37000 certification is not required by the Law, but we decided to undergo the process, too.

ENTERPRISE RISK PORTFOLIO of BUREAU VERITAS tackles emerging risks



HAZARD RISK

Safety

OHSAS 18001 H&S
ISO 22000 FSMS

Liability

ISO 37001 ABMS (Bribery)

Property & Information

ISO 27001 ISMS
ISO 27018 / ISO 27018
CSA Star
Cyber Essentials (& CE+)
Data Protection

Environmental

ISO 14001 EMS



FINANCIAL RISK

Pricing

Asset

ISO 55001 AMS
ISO 27001 ISMS

Currency

Liquidity



OPERATIONAL RISK

Customer satisfaction

ISO 9001 QMS
ISO 20000 ITSMS

Product failure

ISO 9001 QMS
ISO 22000 FSMS

Integrity

ISO 37001 ABMS (Bribery)

Reputational

ISO 9001 QMS
ISO 14001 EMS
Responsible Sourcing
ISO 27001 ISMS

Continuity

ISO 22301 BCMS



STRATEGIC RISK

Competition

Innovation
Differentiation

Social trends

Capital availability

Assurance of Sustainability Reports
GHG Emissions Verification

ISO 37001 ANTIBRIBERY MANAGEMENT SYSTEM – PART OF THE LARGE PORTFOLIO OF **BUREAU VERITAS**

Who and what is ISO 37001 for?



- **ISO 37001 Anti-Bribery Management Systems (ABMS)** in late 2016, enables organizations to establish procedures identifying and prioritizing the treatment of bribery risks and to train up and maintain the competence of business associates working for and on behalf of the company to do business with integrity.
- ISO 37001's **structured approach to identifying, evaluating, analyzing and mitigating bribery risks** with appropriate communication, training and procedures brings the standardized model used by other management systems to this important field of enterprise risk.
- An ISO 37001 ABMS can be applied to all sizes and types of organizations, whether they be companies, public sector bodies or in the voluntary sector.
- It can be integrated into an existing compliance program and existing ISO certification (e.g. ISO 9001)
- ISO 37001 enables international standardization of your procedures and training.



Why implement and certify ISO 37001?



Why implement ISO 37001?

By formalizing the training and procedures that establish integrity, ISO 37001 empowers businesses to:

- Understand the bribery risk hotspots and put in place appropriately stringent controls where they are needed
- Reduce risk of prosecution for non-compliance with local or international anti-bribery laws
- Show ethical leadership which engages employees and business associates in being part of the solution to corruption
- Rebuild public, customer, stakeholder and internal trust after a bribery incident has occurred

TO BE COMPLIANT WITH
Act No. 2/2018 Coll. on vehicle operation in the
road traffic
(entry into force on 20th May 2018)



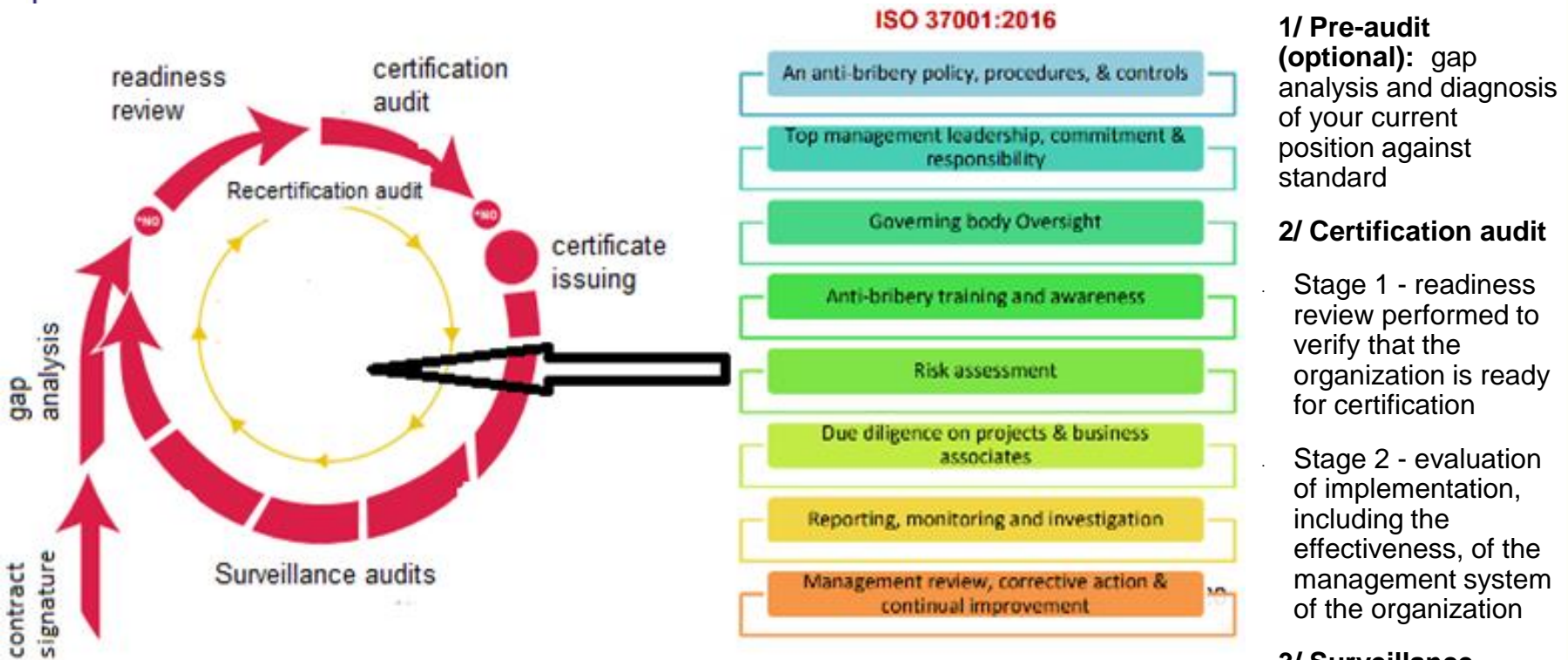
Why certify to ISO 37001?

- Prove that your organization has implemented “reasonable and proportionate” measures designed to prevent bribery and comply with the law
- Enhance your organization’s reputation and avoid the costs and reputational risks associated with bribery
- Provide assurance of your organization’s integrity to owners, directors, employees, regulators and business associates
- Gain access to new business opportunities business associates
- Gain access to new business opportunities

ISO 37001 - Certification process



BUREAU
VERITAS



1/ Pre-audit

(optional): gap analysis and diagnosis of your current position against standard

2/ Certification audit

Stage 1 - readiness review performed to verify that the organization is ready for certification

Stage 2 - evaluation of implementation, including the effectiveness, of the management system of the organization

3/ Surveillance

audits to verify that the management system continues to fulfill the requirements of the standard and monitor the continual improvement

A certificate valid for 3 years is issued upon satisfactory results of stage 2 audit

Re-certification after 3 years to confirm the continued conformance and effectiveness of the management system as a whole

ISO 37001 - Case STUDY

(STK with 1-20 employees, 1 location)



Certification audit:

- Stage 1: Readiness Review
- Stage 2: Certification audit



1st Surveillance audit (SV1)

2nd Surveillance audit (SV2)

Employees	Certification (S1+S2)	SV1	SV2	Total 3y cycle
1 - 5	1,5	1	1	3,5
6 - 10	2	1	1	4
11 - 20	2,5	1	1	4,5

Why choose Bureau Veritas certification?

Network

6,500 skilled auditors operating in more than 100 countries offer a unique combination of international and local expertise providing consistent services wherever our clients are.

Expertise

Our auditors have extensive knowledge of specific industry sectors, local regulations, markets and language that enables them to provide solutions adapted to your needs.

Recognition

Bureau Veritas Certification is recognized by more than 40 national and international accreditation bodies across the world.

Mark of global recognition

The Bureau Veritas Certification mark is a globally recognized symbol of your organization's ongoing commitment to excellence, sustainability and reliability.

Experienced Instructors

Our training courses are delivered by lead auditors with years of industry experience and insight, who have been trained in interpersonal communication, intercultural issues and adult learning concepts.